

FORM PTO-1390  
(REV. 12-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

NOR-951A

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/088464

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.  
PCT/US00/25282INTERNATIONAL FILING DATE  
September 15, 2000PRIORITY DATE CLAIMED  
September 20, 1999TITLE OF INVENTION  
Apparatus And Method For Generating Ultraviolet Radiation

## APPLICANT(S) FOR DO/EO/US

James W. Schmitkons and James M. Borsuk

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

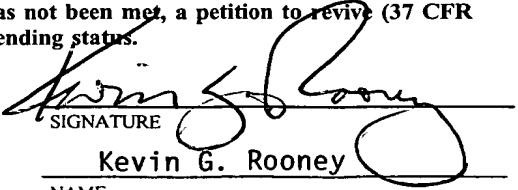
1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4.  The US has been elected by the expiration of 19 months from the priority date (Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto (required only if not communicated by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto.
  - b.  has been previously submitted under 35 U.S.C. 154(d)(4).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not communicated by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **(Executed)**
10.  An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98. **(9 References)**
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.
14.  A **SECOND** or **SUBSEQUENT** preliminary amendment.
15.  A substitute specification.
16.  A change of power of attorney and/or address letter.
17.  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18.  A second copy of the published international application under 35 U.S.C. 154(d)(4).
19.  A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20.  Other items or information:

Return Postcard

Certificate of Express Mailing (EV026539530US)

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER		
10/088484	PCT/US00/25282	NOR-951A		
21. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY		
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b>				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . . . . .		\$1040.00		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . . .		\$890.00		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . .		\$740.00		
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . .		\$710.00		
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . .		\$100.00		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$ 710.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	29 - 20 =	9	x \$18.00	\$ 162.00
Independent claims	4 - 3 =	1	x \$84.00	\$ 84.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$280.00		\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$ 956.00		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		+		\$
<b>SUBTOTAL =</b>		\$ 956.00		
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$		
<b>TOTAL NATIONAL FEE =</b>		\$ 956.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+ \$ 40.00		
<b>TOTAL FEES ENCLOSED =</b>		\$ 996.00		
		Amount to be refunded:		\$
		charged:		\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>996.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-3000</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p>				
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b></p>				
<p>SEND ALL CORRESPONDENCE TO:</p> <p><b>Kevin G. Rooney, Esq.</b>  <b>Wood, Herron &amp; Evans, L.L.P.</b>  <b>2700 Carew Tower</b>  <b>441 Vine Street</b>  <b>Cincinnati, OH 45202</b>  <b>(513) 241-2324</b></p>				
<p>  <b>SIGNATURE</b>  <b>Kevin G. Rooney</b></p>				
<p><b>NAME</b>  <b>36,330</b>  <b>REGISTRATION NUMBER</b></p>				

Application Based on PCT/US00/25282

Filed: March 19, 2002

Applicant(s): James W. Schmitkons et al.

Title: APPARATUS AND METHOD FOR GENERATING ULTRAVIOLET  
RADIATION

Our Ref. No.: NOR-951A

Cincinnati, Ohio 45202

March 19, 2002

BOX PCT  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

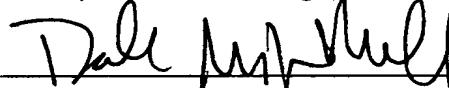
**CERTIFICATE OF EXPRESS MAILING**  
"EXPRESS MAIL" MAILING LABEL NO. EV026539530US

DATE OF DEPOSIT: March 19, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10 on the date indicated above and is addressed to the Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.

Dale Mitchell

Name of person mailing paper or fee



Signature of person mailing paper or fee

***Enclosures:***

Certificate of Express Mailing (EV026539530US)

Transmittal Letter to the United States Designated/Elected Office

(DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (In Duplicate)

An Executed Declaration, Power of Attorney, and Petition

An Information Disclosure Statement with 9 References

An Assignment of Invention and Patents Thereon

A Check in the Amount of \$996.00 for Filing Fees

A Return Postcard